

29794/36697A

**PATENT** 

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Carl Dvorak, et al. Serial No.: 10/007,066 Filed: December 5, 2001 For: System and Method For Integration of Health Care Records Attorney Docket No.: 29794/36697A Group Art Unit: TBA ) I hereby certify that this paper is being deposited with the **United States Postal Service** with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C.

20231 on this date:

March 4, 2002

#### **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents Washington, D.C. 20231

Examiner: TBA

Sir:

The patents and/or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 CFR §§ 1.56, 1.97, and 1.98. Copies of the patents or publications are enclosed as necessary.

The submission of the enclosed document(s) is not an admission that it is prior art or relevant to the invention.

This information disclosure statement is being filed with the application or within three months of the filing date of the application or date of entry into the national stage of an international application or, to the best of the undersigned's knowledge, before the mailing date of a first Office Action on the merits, whichever event occurs last. In accordance with 37 CFR §1.97(b), no certification or fee is required.

The Commissioner is authorized to charge any fee deficiency required by this paper, or credit any overpayment, to Deposit Account No. 13-2855. A copy of this paper is enclosed.

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN 6300 Sears Tower 233 South Wacker Drive Chicago, Illinois 60606-6357 (312) 474-6300

By:

Anthony G. Sitko Reg. No: 36,278

March 4, 2002



(Use several sheets if necessary)

U.S. Department of Commerce Patent and Trademark Office

Atty. Docket No. 29794/36697A Serial No. 10/007,066

Applicant

Carl Dvorak, et al.

Filing Date 12/05/01 Group **TBA** 

<del></del>		U.S. FA1	TENT DOCUMENTS	·	<del></del>		
*Examiner Initials	Document Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate	
POR	4,962,475	10/09/90	Hernandez, et al.	364	900	03/15/88	
	5,072,412	12/10/91	Henderson, Jr., et al.	395	159	03/25/87	
	5,781,890 <sup>-</sup>	07/14/98	Nematbakhsh, et al.	705	3	08/27/97	
	5,832,450	11/03/98	Myers, et al.	705	3	05/05/97	
	5,867,821	02/02/99	Ballantyne, et al.	705	2	02/16/96	
	6,021,404	02/01/00	Moukheibir	706	46	08/18/97	
	6,063,026	05/16/00	Schauss, et al.	600	300	03/22/96	
	6,082,776	07/04/00	Feinberg	283	72	05/07/97	
	US 2001/ 0049610 A1	12/06/01	Hazumi	705	3	05/25/01	

	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)				
NC	CDR-Web, Web site, 1 page, Copyright 2000				
NIC	"'O' Pioneers!," Marietti, C., Healthcare Informatics, 9 pages, May 1999				
NC	"Today's CDRs: The Elusive 'Complete' Solution," Johnson, R.L., Healthcare Informatics, 7 pages, July 1997				
N/C	"Computer-Based Patient Records-Venturing Off the Beaten Path: It's Time to Blaze New CPR Trails," Andrew, W., et al., Healthcare Informatics, 6 pages, May 1997, and pages 44-59, May 1997				

DATE CONSIDERED EXAMINER

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



(Use several sheets if necessary)

U.S. Department of Commerce Patent and Trademark Office

Atty. Docket No. 29794/36697A Serial No. 10/007,066

Applicant

Carl Dvorak, et al.

Filing Date 12/05/01

Group **TBA** 

	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
	Care is #1-EMR Features, Web site, 1 page, Copyright 1999, 2000
	Cerner Technologies-Enterprise Systems Management, Web site, 5 pages, Copyright, 2001
	HealthMatics™ Office, Web site, 3 pages, Date Unknown
	CliniComp, IntlProducts, Web site, 1 page, Copyright 1999-2001
	EXCELCARE Windows, Web site, 2 pages, Date Unknown
	InteGreat-IC-Chart™ Information, Web site, 1 page, Date Unknown
,	

**EXAMINER** 

**DATE CONSIDERED** 

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Cleas Mall, in an envelope addressed to: Commissioner for Patenta, Washington, D. 20231 on the date shown below.

Dated: June 11, 2002

gnature:

Attorney Docket No.: 29794/36697A

**PATENT** 

1 7 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

JUN 1 9 2002

Patont Application of:

Dvorak et al.

Application No.: 10/007,066

Filed: December 5, 2001

For: UNIVERSAL PATIENT RECORD SYSTEM

Group Art Unit: 21 Technology Center 2100

Examiner: Not Yet Assigned

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (

Commissioner for Patents Washington, DC 20231

Dear Sir:

**GROUP 3600** 

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Supplemental Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned.

A copy of each reference on PTO/SB/08 is attached.

While the information and references disclosed in this Supplemental Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made

or that no other material information as defined in 37 CFR 1.56(a) exists. It is submitted that the Supplemental Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 13-2855, under Order No. 29794/36697A. A duplicate copy of this paper is enclosed.

Dated: June 11, 2002

۷.

Respectfully submitted,

Randall G. Rueth

Registration No.: 45,887

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PTO/SB/08A (10-01)
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U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE persons are required to respond to a collection of information unless it contains a valid OMB control number. Under the Paperwork Reduction Act

Substitute for form 1449A/PTO				Complete if Known			
500				Application Number	10/007,066		
SU	<b>PPLEMENTA</b>	LIN	IFORMATION	Filing Date	December 5, 2001		
	DISCL			Inventors	Dvorak et al.		
S.	TATEMENT E		<del>-</del> · · · ·	Art Unit	2161 - OFIVE		
OTATION OF A TOTAL OF THE OTHER				Examiner Name	Not Yet Assigned CEIVE		
Sheet	1	of	1	Attorney Docket Number	29794/36697A HIN 1 9 2002		

Examiner Initials	Cite No.1	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant logy Center 21 of Cited Document Ology
POR	Α	5,833,599	11-10-1998	Schrier et al.
1	В	5.845,253	12-01-1998	Rensimer et al.
	С	6,154,726	11-28-2000	Rensimer et al.
	D	6,317,719 B1	11-13-2001	Schrier et al.

Γ	FOREIGN PATENT DOCUMENTS						
	034	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant	П	
Examiner Cite Initials No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear			
NIC						<u> </u>	

¹ Applicant's unique citation designation number (optional). ² See attached Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁰ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁰ Applicant is to place a check mark here If English language Translation is attached.

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
NIC	E	"Development of Electronic Medical Record System," HAZUMI et al., NEC Research & Development, Vol, 41, No. 1, 01-2000, pages 102-105.			

	·	<del></del>	<u> </u>	`		
П	Examiner	7	1 /1/	/ <del></del>	Date	1 26/4
	Signature d	411	ku 110	<u> </u>	Considered	3/2/06
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<sup>&#</sup>x27;Applicants' unique citation designation number (optional). 'Applicants are to place a check mark here if English language Translation is attached.

RECEIVED AUG 0 1 2002 GROUP 3600

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, Washington, DC 20234. 60 the date shown below.

Dated: February 28, 2003

ignature: (Rapeall G. Rueth)

Dealest No. (

3-10-03

Docket No.: 29794/36697A

(PATENT)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Carl Dvorak, et al.

Application No.: 10/007,066

Filed: December 5, 2001

For: UNIVERSAL PATIENT RECORD SYSTEM

Group Art Unit Store
Example For Yet Assigned

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents Washington, DC 20231

Dear Sir:

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Supplemental Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned.

I hereby certify that no item of information contained in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this Supplemental Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Supplemental Information Disclosure Statement.

A copy of each reference on PTO/SB/08 is attached.

from- no

While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 13-2855, under Order No. 29794/36697A. A duplicate copy of this paper is enclosed.

Dated: February 28, 2003

Respectfully submitted,

Randall G. Rueth

Registration No.: 45,887

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PTO/SB/08A (10-01)

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

1

Sheet

Complete if Known					
Application Number	10/007,066				
Filing Date	December 5, 2001				
First Named Inventor	Carl Dvorak, et al.				
Art Unit	2166				
Examiner Name	Not Yet Assigned				
Attorney Docket Number	29794/36697A				

			U.S. PA	TENT DOCUMENTS	
Examiner Initials*	Cite No.	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Miles Ulubra (1983) Where Relevant Passages of Relevant Office Assages (1986)
1208	A1	5,997,446-	12-07-1999	Brown	ישטט וטטחטי

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		FOREIG	GN PATENT	DOCUMENTS		
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Initials* No.1		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T <sup>6</sup>
NIC	B1	PC-WO 98/13783-	04-02-1998	Evans		
NIL	B2	PC-WO 00/29983-	05-25-2000	Kriese		

		<u> </u>					_
Examiner Signature	K	-,/	a //	V	Date Considered	3/2/06	
	7		7-				

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup> See attached Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. <sup>6</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.



Docket No.: 29794/36697A (PATENT)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Carl Dvorak, et al.

Application No.: 10/007,066

Group Art Unit: 2161

Filed: December 5, 2001

Examiner: Not Yet Assigned

For:

SYSTEM AND METHOD FOR

INTEGRATION OF HEALTHCARE

**RECORDS** 

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

AUG 0 8 2003

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Supplemental Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned.

I hereby certify that each item of information contained in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement.

A copy of each reference on PTO/SB/08 is attached.

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 13-2855, under Order No. 29794/36697A. A duplicate copy of this paper is enclosed.

Dated: August 1, 2003

Respectfully submitted,

Randall G. Rueth

Registration No.: 45,887

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Chicago, Illinois 60606-6357

(312) 474-6300

PTO/SB/08A (10-01)

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U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE er the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known Substitute for form 1449A/PTO Application Number 10/007066 INFORMATION DISCLOSURE Filing Date December 5, 2001 STATEMENT BY APPLICANT First Named Inventor Carl Dvorak, et al. Art Unit 2161 (use as many sheets as necessary) Examiner Name Not Yet Assigned 29794/36697A 1 Attorney Docket Number Sheet of

		U.S. PATENT DO	CUMENTS
Examiner Initials	Document Number  Number-Kind Code <sup>2</sup> (il known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
1202	5,772,585	06-30-1998	Lavin, et al.
12011	5,924,074	07-13-1999	Evans

		FOREI	GN PATENT	DOCUMENTS	
Examiner Initials	.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY		T <sup>®</sup>
NIC		WO 99/22330	05-06-1999	Johnson	
NIC		WO 00/65522	11-02-2000	Kirnak	

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See attached Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the Indication of the year of the reign of the Emperor must precede the application number of the patent document. <sup>6</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T2
MK	Partial International Search Report mailed July 18, 2003 for PCT/US01/50037.	

<sup>&#</sup>x27;Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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GROUP 3600

Examiner	Date 2/2/1/	
Signature . La / La / Co	Considered 5/4/06	

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Dated: February 11, 2004

Randall G. Rueth)

Docket No.: 29794/36697A (PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:. Carl D. Dvorak et al.

Application No.: 10/007,066

Filed: December 5, 2001

For: SYSTEM AND METHOD FOR

INTEGRATION OF HEALTH CARE

**RECORDS** 

RECT ... D

GRUUP 3000

Art Unit: 2161

Examiner: Not Yet Assigned

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

(i)

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Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Supplemental Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 CFR 1.97(b)(3)).

A copy of each reference on the PTO/SB/08 is attached.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter

filed in this application by this firm) to our Deposit Account No. 13-2855, under Order No. 29794/36697A. A duplicate copy of this paper is enclosed.

Dated: February 11, 2004

Respectfully submitted,

Randall G. Rueth

Registration No.: 45,887

MARSHALL, GERSTEIN & BORUN LLP

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# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	.33
	I .		1 -

	Complete if Known
Application Number	10/007,066
Filing Date	December 5, 2001
First Named Inventor	Carl D. Dvorak
Art Unit	2161
Examiner Name	Not Yet Assigned
Attorney Docket Number	29794/36697A

			U.S. PA	TENT DOCUMENTS	
Examine		Document Number  Number-Kind Code <sup>2</sup> ( if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
inibais	No.			Applicant of Cited Document	Figures Appear
KUK	1	US-4,591,974	05-27-1986		
	2	US-4,667,292	05-19-1987	Mohlenbrock et al.	
	3	US-4,839,806	06-13-1989		
	4	US-4,893,270	01-09-1990		
	5	US-5,072,383	12-10-1991	Brimm et al.	
	6	US-5,072,838	12-17-1991	Price, Jr. et al.	Marija Barid
	7	US-5,077,666	12-31-1991	Brimm et al.	0.9.200/
	8	US-5,088,981	02-18-1992		2 3 2004
	9	US-5,101,476	03-31-1992	Kukia	
	10	US-5,253,362	10-12-1993	Nolan et al.	UP 36 <b>00</b>
	11	US-5,301,105	04-05-1994	Cummings, Jr.	
	12	US-5,319,543	06-07-1994	Wilhelm	
	13	US-5,325,478	06-28-1994		
	14	US-5,361,202	11-01-1994		· · · · · · · · · · · · · · · · · · ·
	15	US-5,428,778	06-27-1995		
	16	US-5,546,580		Seliger et al.	
	17	US-5,557,515		Abbruzzese et al.	
	18	US-5,574,828		Hayward et al.	
$\bot$	19	US-5,596,752		Knudsen et al.	
	20	US-5,603,026	02-11-1997		·
	21	US-5,692,125	11-25-1997		
	22	US-5,724,584	03-03-1998		
	23	US-5,748,907	05-05-1998		
	24	US-5,751,958		Zweben et al.	
	25	US-5,760,704	06-02-1998		
	26	US-5,778,346		Frid-Nielsen et al.	
	27	US-5,781,442	07-14-1998		
	28	US-5,802,253	09-01-1998		
	29	US-5,823,948	<del></del>	Ross, Jr. et al.	
	30	US-5,838,313	11-17-1998		<u> </u>
	31	US-5,848,393	12-08-1998		
	32	US-5,848,395	12-08-1998		
	33	US-5,850,221		Macrae et al.	
	34	US-5,867,688		Simmon et al.	
	35	US-5,899,998		McGauley et al.	
	36	US-5,915,240	06-22-1999		
	37	US-5,946,659	08-31-1999		
	38	US-5,983,210		Imasaki et al.	
	39	US-5,997,476	12-07-1999		
$\perp$	40	US-5,999,916	12-07-1999		
	41	US-6,014,631		Teagarden et al.	
	42	US-6,016,477	01-18-2000		
	43	US-6,037,940	03-14-2000		
	44	US-6,047,259	04-04-2000		
T	45	US-6,067,523	05-23-2000		
	46	US-6,139,494	10-31-2000	Cairnes	<u> </u>

Examiner Signature Date Considered 3/2/66

Under the Paperwork Red Mas IP 995, no persons are requ

PTO/SB/08a/b (08-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

-		 	 	 
She	et	2	of	23,

Complete if Known				
Application Number	10/007,066			
Filing Date	December 5, 2001			
First Named Inventor	Carl D. Dvorak			
Art Unit	2161			
Examiner Name	Not Yet Assigned			
Attorney Docket Number	29794/36697A			

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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Oned Boodiners	or Relevant Figures Appear	<u> </u>
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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## SUPPLEMENTAL INFORMATION **DISCLOSURE** STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 3 of

	Complete if Known		
Application Number	10/007,066		
Filing Date	December 5, 2001	RE	m 4-m 4.5 KW
First Named Inventor	Carl D. Dvorak		17.7
Art Unit	2161	FEE	2 3 2004
Examiner Name	Not Yet Assigned	GHO	1.2007
Attorney Docket Number	29794/36697A		YE 36

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner Signature	In I Co	Date Considered 3	2/06
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<sup>&#</sup>x27;Applicant's unique citation designation number (optional). 'Applicant is to place a check mark here if English language Translation is attached.

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Dated: October 20, 2004

(Radiali G Rueth)

Docket No.: 29794/36697A

(PATENT)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Carl D. Dvorak et al.

Application No.: 10/007,066

Filed: December 5, 2001

Art Unit: 2161

For:

SYSTEM AND METHOD FOR

INTEGRATION OF HEALTH CARE

**RECORDS** 

Examiner: Not Yet Assigned

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08: It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

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A copy of each reference on the PTO/SB/08 is attached.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter

filed in this application by this firm) to our Deposit Account No. 13-2855, under Order No. 29794/36697A. A duplicate copy of this paper is enclosed.

Dated: October 20, 2004

Respectfully submitted,

Randall G. Rueth

Registration No.: 45,887

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Substitute for form 1449A/B/PTO		Complete if Known			
""				Application Number	10/007,066
SU	PPLEMENT	AL INFO	ORMATION	Filing Date	December 5, 2001
	DISC	LOSUR	E	First Named Inventor	Carl D. Dvorak
l s	TATEMENT			Art Unit	2161
	(Use as many sheets as necessary)			Examiner Name	Not Yet Assigned
Sheet			Attorney Docket Number	29794/36697A	

			U.S. PA	TENT DOCUMENTS	
Examiner Cite	<b>6</b> 11-	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
		Number-Kind Code <sup>2</sup> ( if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
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F	Cita	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,		
Examiner Cite Initials* No.1		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear		
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NON PATENT LITERATURE DOCUMENTS							
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Examiner Signature	K.	Jan / L	5	Date Considered	3/2/01
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Docket No.: 29794/36697A

(PATENT)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Carl D. Dvorak et al.

Dated: February 10, 2005

Application No.: 10/007,066

Filed: December 5, 2001

Art Unit: 2161

Randall G. Rueth)

SYSTEM AND METHOD FOR For: INTEGRATION OF HEALTH CARE

RECORDS

Examiner: Not Yet Assigned

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (IDS)

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Dear Sir:

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Dated: February 10, 2005

Respectfully submitted,

Randall G. Rueth

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### SUPPLEMENTAL INFORMATION **DISCLOSURE** STATEMENT BY APPLICANT

(Use as many sheets as necessary)

1 Sheet

Complete if Known					
Application Number	10/007,066				
Filing Date	December 5, 2001				
First Named Inventor	Carl D. Dvorak				
Art Unit	2161				
Examiner Name	Not Yet Assigned				
Attorney Docket Number	29794/36697A				

			U.S. PA	TENT DOCUMENTS	
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Comings	Cita	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	١.
Examiner Cite Initials* No.1		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Date MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	
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NIC			

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Examiner Signature	la 1/25	Date Considered 3/2/06
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<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

1/W 216/

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(PATENT)

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First Named Inventor	Carl D. Dvorak				
Art Unit	2161				
Examiner Name	Not Yet Assigned				
Attorney Docket Number	29794/36697A				

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Initiats*	Examiner Cite Initials* No.1	Number-Kind Code <sup>2</sup> ( if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear		
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		FOREIG	ON PATENT	DOCUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	₹

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Examiner Signature	In 1/20	Date Considered 3/2/04	
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<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant Is to place a check mark here if English language Translation is attached.

I her by certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mair in an envelope addressed to minissioner for Patents, P.O. Box 1450, Alexandria, VA 23013-1450, on the date shown below.

Dated: July 21, 2005 Signature:

IFW

Docket No.: 29794/36697A

(PATENT)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Carl D. Dvorak et al.

Application No.: 10/007,066

Filed: December 5, 2001 Art Unit: 2161

For: SYSTEM AND METHOD FOR

INTEGRATION OF HEALTH CARE

RECORDS

Confirmation No.: 6923

Examiner: Not Yet Assigned

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Supplemental Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 CFR 1.97(b)(3)).

Copies of the documents identified on the enclosed PTO/SB/08 form are enclosed, except for copies of the U.S. patent documents.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter

filed in this application by this firm) to our Deposit Account No. 13-2855, under Order No. 29794/36697A. A duplicate copy of this paper is enclosed.

Dated: July 21, 2005

Respectfully submitted,

Randall G. Rueth

Registration No.: 45,887

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PTO/SB/08a/b (08-03)

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Subst	titute for form 1449A/B/PT	0		Complete if Known		
3003				Application Number	10/007,066	
SUF	PLEMENTA	LIN	<b>IFORMATION</b>	Filing Date	December 5, 2001	
	DISCLOSURE			First Named Inventor	Carl D. Dvorak	
SI	TATEMENT I	BY A	APPLICANT	Art Unit	2161	
(Use as many sheets as necessary)				Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	29794/36697A	

		······································	U.S. PA	TENT DOCUMENTS	
Examiner	6:4-	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	Cite No.1	Number-Kind Code <sup>2</sup> ( if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
1207		US-5,347,578	09-13-1994	Duxbury	
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		FOREIG	ON PATENT	DOCUMENTS		_
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>4</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
1/6		Beckham, J. Daniel, "The engine of choice," Healthcare Forum Journal, Vol. 39, No. 4, July/August 1996.	
1		Ho et al. "Introducing variable-interval appointment scheduling rules in service systems, International Journal of Operations and Production Management, Volume 15, No. 6, 1995.	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

	<u> </u>		
Examiner Signature	Date Considered	3/2/	06

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